

**Regulations
amending Finansinspektionen's regulations (FFFS 2010:1)
regarding quarterly statistics for some securities companies;**

FFFS 2015:2

Published 20/02/2015

decided 16/02/2015.

Finansinspektionen prescribes pursuant to Chapter 6, section 1, points 63 and 65 of the Securities Market Ordinance (2007:572) and section 15 of the Official Statistics Ordinance (2001:100) in respect of Finansinspektionen's regulations (FFFS 2010:1) regarding quarterly statistics for some securities companies that section 1 of Appendix 1 and Part 2 *Classification of counterparties* and Part 3 *Reporting of quarterly information* of Appendix 2, shall have the following wording.

Section 1 These regulations apply to the following undertakings:

1. Securities companies that

- deals in financial instruments on own account and do not also have authorisation to grant credits to investors and receive funds on account from investors pursuant to Chapter 2, section 2, points 2 and 8 of the Securities Market Act (2007:528), and
- belong to Sector S.125, financial institutions other than limited insurance companies and pension institutions, according to European System of Accounts 2010 (ESA 2010) and Standard Classification by Institutional Sector 2014 (INSEKT 2014).

2. Branches to foreign securities companies that

- conduct operations in Sweden that are registered with Finansinspektionen pursuant to Article 32 of Directive 2004/39/EC of the European Parliament and of the Council of 21 April 2004 on markets in financial instruments¹, and
- trade in financial instruments on own account pursuant to Section A3 of Annex I to the Directive, but do not grant credits to investors pursuant to Section B2 of Annex I to the Directive.

These regulations shall enter into force on 1 March 2015 and are applied for the first time to information submitted for Q1 2015.

MARTIN ANDERSSON

Hans-Olof Bergqvist

¹ EUT L 145, 30.4.2004, p. 1 (Celex 32004L0039).

QUARTERLY STATISTICS FOR SOME SECURITIES COMPANIES

Institution	
CIN	Institution no.

Quarter Year

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Statistics Sweden shall have received this form
no later than the 15th business day following the end of the quarter

* If it is not possible to submit the data electronically,
 one copy of the form shall be sent to

Statistics Sweden
 ES/BFM
 Box 24300
 104 51 STOCKHOLM

The information will be given to
 Sveriges Riksbank and Finansinspektionen.

I hereby verify the accuracy of the information submitted using this form and the appended spe

Administrator	Signature
Name (PRINT)	Date
	Signature of chief officer
Telephone (area code and number)	Signature of administrator

Form issuer	Questions will be answered by:	Telephone no.	Email
Statistics Sweden	Financial Market Unit	+46 (0)8 506 940 00	fmr@scb.se

QUARTERLY STATISTICS FOR SOME SECURITIES COMPANIES TRANSACTIONS WITH OWN FOREIGN BRANCHES

All currencies shall be translated into SEK and reported as SEK thousands.

ASSETS

		SEK			EUR and other EMU currencies			Other EU currencies			Other currencies		
		OB	Rev	CB	OB	Rev	CB	OB	Rev	CB	OB	Rev	CB
LOANS	12000												
Short (up to 1 year)	12100												
EMU countries	12170												
Other EU	12180												
Rest of world	12190												
Long (greater than 1 year)	12200												
EMU countries	12270												
Other EU	12280												
Rest of world	12290												

		SEK			EUR and other EMU currencies			Other EU currencies			Other currencies		
		OB	Rev	CB	OB	Rev	CB	OB	Rev	CB	OB	Rev	CB
BONDS AND OTHER INTEREST-BEARING ASSETS	13000												
Original maturity up to 1 year	13100												
EMU countries	13150												
Other EU	13160												
Rest of world	13170												
Original maturity greater than 1 year	13200												
EMU countries	13250												
Other EU	13260												
Rest of world	13270												

		SEK			EUR and other EMU currencies			Other EU currencies			Other currencies		
		OB	Rev	CB	OB	Rev	CB	OB	Rev	CB	OB	Rev	CB
OTHER ASSETS													
Financial derivatives	17000												
EMU countries	17070												
Other EU	17080												
Rest of world	17090												
Other	18000												
EMU countries	18020												
<i>of which current trading accounts</i>	18021												
Other EU	18030												
<i>of which current trading accounts</i>	18031												
Rest of world	18040												
<i>of which current trading accounts</i>	18041												

PREPAID EXPENSES

	SEK	EUR and other EMU currencies	Other EU currencies	Other currencies
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		SEK			EUR and other EMU currencies			Other EU currencies			Other currencies		
		OB	Rev	CB	OB	Rev	CB	OB	Rev	CB	OB	Rev	CB
ACCRUALS													
DEFERRED INCOME													
Deferred income	25100												
of which accrued interest bonds	25110												
of which accrued interest loans	25120												
of which short-term borrowing	25121												
of which long-term borrowing	25122												

		SEK			EUR and other EMU currencies			Other EU currencies			Other currencies		
		OB	Rev	CB	OB	Rev	CB	OB	Rev	CB	OB	Rev	CB
TOTAL LIABILITIES AND EQUITY	20000												

		SEK			EUR and other EMU currencies			Other EU currencies			Other currencies		
		OB	Rev	CB	OB	Rev	CB	OB	Rev	CB	OB	Rev	CB
DIFFERENCE BETWEEN ASSETS AND LIABILITIES AND EQUITY*													

* If the difference is **negative (positive)**, the branch difference goes under **Assets (Liabilities)** on the Institute+Branch report tab.