

# Finansinspektionen's Regulatory Code

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## Regulations amending Finansinspektionen's regulations and general guidelines (FFFS 2014:4) regarding the management of operational risks;

**FFFS 2023:4**

Published on  
27 February 2023

decided 21 February 2023.

Finansinspektionen prescribes pursuant to Chapter 5, section 2, point 5 of the Banking and Financing Business Ordinance (2004:329) that Chapter 1, section 2 of Finansinspektionen's regulations and general guidelines (FFFS 2014:4) regarding the management of operational risks shall have the following wording.

### Chapter 1

**Section 2** These regulations apply to

1. banking companies,
2. savings banks,
3. members' banks,
4. credit market companies,
5. credit market associations,
6. securities companies as referred to in Chapter 1, section 2, first paragraph, point 7 c–g of the Credit Institutions and Investment Firms (Special Supervision) Act (2014:968), and
7. undertakings with authorisation to conduct clearing operations in accordance with Chapter 19 of the Securities Market Act (2007:528).

The regulations, in accordance with Chapter 3, section 4 of the Special Supervision of Credit Institutions and Investment Firms Act (2014:968), shall be applied at group or subgroup level.

For an undertaking referred to in the first paragraph, point 7, the regulations apply only to the undertaking's organisation, processes and personnel for managing IT systems (IT operations).

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These regulations shall enter into force on 08 March 2023.

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