

# Step-by-step guide to filling in the form 'Notification of Changes in Major Shareholdings'



## Changes

Indicate on whose behalf the notification is made by ticking the appropriate box.

## Holder

Name the company or person that directly or indirectly is the holder of the financial instruments subject to the notification.

## Instrument

List the issuer of the shares or the underlying shares. Also indicate the class of the instrument or the type of share involved in the event that triggered the obligation to notify (for example: call option, B share, CFD). ISIN code is optional, but the reason for the change in holdings needs to be specified.

## Threshold reached/exceeded/fallen below

Indicate what threshold(s) has been reached/exceeded/fallen below due to the change.

The calculation should be made in regard to the total number of shares (including buybacks), as well as the number of voting rights for the total number of shares of the issuer. If several thresholds have been reached or crossed, please tick only the last one (for example: if a disposal has resulted in the percentage of the total number of shares falling below both the 10% and the 5% thresholds, only the 5% box should be ticked). Indicate also the date on which the transaction or the event triggering the notification obligation occurred.

Note that thresholds can be reached, exceeded or fallen below following a change in:

- a) holdings in shares and such depositary receipts as those referenced under footnote 1
- b) aggregated holdings in financial instruments referenced under footnotes 2 and 3
- c) aggregated holdings in shares, depositary receipts referenced under footnote 1, and financial instruments referenced under footnotes 2 and 3, or
- d) the total number of shares or voting rights of the issuer (following a share issue for example). This could potentially result in a dilution of holdings under either a), b) or c), thereby causing the holder to passively fall below a notification threshold.

## Holdings of shares or depositary receipts

This section is intended for details of holdings under Article 9 and 10 of the Transparency Directive (2004/109/EC), which is to say direct and indirect holdings of shares, and depositary receipts granting the holder the right to vote for the referenced shares (see also footnote 1).

## Resulting distribution of total holdings

Specify details of the resulting distribution of holdings, following the transaction or event that triggered the obligation to notify. If the holdings include financial instruments referenced under footnote 2 or 3, the information must contain a breakdown of the category to which the

**Finansinspektionen**  
Box 7821  
SE-103 97 Stockholm, Sweden  
[Sveavägen 44]  
Tel +46 8 408 980 00  
Fax +46 8 24 39 25  
finansinspektionen@fi.se  
www.fi.se

instruments belong. If the instrument belongs to the category referenced in footnote 3, it is also necessary to make a distinction between the instruments that confer a right to physical settlement and the ones that are subject to cash settlement. Please note that it is the number of shares and underlying shares held through these instruments that are to be specified in the second box. The resulting distribution of holdings in all three categories should be accounted for.

**When voting by proxy, the former holders of voting rights are**

If the holdings include rights to vote by proxy, this is to be detailed here. If the end date of this arrangement is not specified, an additional notification could be required once the agreement has ended.

**Chain of controlling companies**

If voting rights are held indirectly, for example through subsidiaries, the chain of control needs to be accounted for in this section.

**Total group holdings**

Holdings listed in the previous section added to direct holdings.

**Identity of the holder**

Provide further information as to the identity of the holder, as well as contact information to the holder or notifier (if different from holder).

**Signature**

This information is required in order for the notification to be considered complete.