

**EEA-based foreign collective investment unit's marketing of shares in the unit in Sweden (Chapter 1, section 7 of SUA)**

*Last reviewed: 2021-07-23*

<p>a) Notification and prior approval of marketing communications.</p>	<p>A foreign collective investment undertaking which is domiciled within the EEA and has such authorisation in its home state as set out in 2009/65/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investment in transferable securities (UCITS) may market units in the undertaking in Sweden without special authorisation.</p> <p>The foreign collective investment undertaking shall notify the competent authority in its home country before the operations commence.</p> <p>A foreign collective investment undertaking that markets participations in the undertaking in Sweden pursuant to Chapter 1, section 7 of SUA must provide investors with the undertaking's key investor information document in Swedish and the undertaking's prospectus, yearly report, and half-yearly report in Swedish and English (Chapter 32, sections 5–6 of FFFS 2013:10).</p> <p>Directive 2009/65/EC specifies that a key investor information document must be prepared and provided that contains basic and consumer-friendly information about the fund in question. The key investor information document must be drawn up in the official language or another language approved by the host Member state. The foreign collective investment undertaking shall also in general comply with Chapter 4, section 20 and Chapter 1, section 10, second paragraph of the Swedish UCITS Act (2004:46) and provide a prospectus, yearly report and half-yearly report, either in the official language of the host Member state, another approved language here or a language which is common within the international finance sector.</p> <p>Requirements on the measures to be taken on behalf of the unit holders pursuant to Article 92 of Directive 2009/65/EC include measures to be able in this state to</p> <ul style="list-style-type: none"><li>- make payments to unit holders,</li><li>- redeem units, and</li><li>- provide information which the undertaking is obligated to provide pursuant to the rules in its home state.</li></ul>
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	For more information, click on this link: <a href="https://www.fi.se/contentassets/ce529c1829a3420abc57ee4a88487cf3/ucits_iv_marknadsforingsregler_isverige.pdf">https://www.fi.se/contentassets/ce529c1829a3420abc57ee4a88487cf3/ucits_iv_marknadsforingsregler_isverige.pdf</a>
b) Any other requirements for the marketing of UCITS that the competent authority considers appropriate.	