

Swedish AIF managers' marketing of EEA-based AIF to professional investors in Sweden (Chapter 4, section 6 of AIFMA)

Last reviewed: 2021-07-23

a) Notification and prior approval of marketing.	<p>An AIF manager with authorisation pursuant to Chapter 3, section 1 of AIFMA, in addition to such participations and shares in alternative investment funds that may be marketed pursuant to Chapter 4, sections 1, 2, 4 or 5 of AIFMA, after notifying Finansinspektionen, may market participations or shares in an EEA-based alternative investment fund that it manages to professional investors in Sweden.</p> <p>The conditions for marketing are set out in Chapter 4, section 6 of AIFMA.</p>
b) Notification and prior approval of marketing communications.	<p>The notification must contain</p> <ul style="list-style-type: none"> • a business plan with information about the fund that will be marketed and where it is established, • the fund rules, articles of association or equivalent documents, • information about the fund's depository, • information about where the master fund is established, if the marketing refers to a feeder fund to an alternative investment fund, • a prospectus in accordance with Chapter 10, section 1 of AIFMA, and • information regarding the measures adopted to prevent marketing to retail investors.
c) Marketing to retail or to professional investors.	Professional investors.
d) Additional requirements applicable in particular to the marketing of certain categories of AIFs that exist under national law.	
e) Any other requirements for the marketing of AIFs that the competent authority considers appropriate.	<p>The AIF manager must report, through an authorised person, via Finansinspektionen's Reporting Portal; please go to https://www.fi.se/sv/rapportera/rapporteringsportalen/. For information about the deadlines for the reporting, please go to https://www.fi.se/sv/marknad/rapportering/fond/. The reporting obligation enters into effect when the AIF manager has held the relevant authorisation for one full calendar year.</p>