

Foreign EEA-based AIF managers' marketing of EEA-based AIF to professional investors in Sweden (Chapter 5, section 3 of AIFMA)

Last reviewed: 2021-07-23

a) Notification and prior approval of marketing.	A foreign EEA-based AIF manager that in its home country has authorisation as referred to in the AIFM Directive may market to professional investors without permission pursuant to Chapter 5, section 3 of AIFMA participations or shares in an EEA-based alternative investment fund that it manages.
b) Notification and prior approval of marketing communications.	<p>Marketing of participations or shares in alternative investment funds other than special funds may start once the competent authority in the manager's home country has informed the manager that a notification of the operations and certification that the manager has authorisation as referred to in the AIFM Directive, specifying the scope and any limitations to the investment strategies of the authorisation, has been submitted to Finansinspektionen.</p> <p>Marketing of a feeder fund to an alternative investment fund may occur in accordance with the above only if the master fund is established within the EEA and managed by an EEA-based AIF manager.</p>
c) Marketing to retail or to professional investors.	Professional investors.
d) Additional requirements applicable in particular to the marketing of certain categories of AIFs that exist under national law.	
e) Any other requirements for the marketing of AIFs that the competent authority considers appropriate.	