

Finansinspektionen's Regulatory Code

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Regulations amending Finansinspektionen's regulations (FFFS 2014:13) regarding reporting internal capital adequacy assessments;

FFFS 2021:25

Published on
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decided on 22 June 2021.

Finansinspektionen prescribes pursuant to Chapter 5, section 2, point 17 of the Banking and Financing Business Ordinance (2004:329) and Chapter 6, section 1, point 56 of the Securities Market Ordinance (2007:572) that sections 1 and 2 of Finansinspektionen's regulations (FFFS 2014:13) regarding reporting internal capital adequacy assessments shall have the following wording.

Section 1 These regulations contain provisions regarding which information an undertaking shall provide regarding its internal capital adequacy assessment according to Chapter 6, section 2 of the Banking and Financing Business Act (2004:297).

Section 2 These regulations apply to

1. banking companies,
2. savings banks,
3. members' banks,
4. credit market companies,
5. credit market associations, and
6. investment firms as referred to in Chapter 1, section 2, first paragraph, point 7 c–f of the Credit Institutions and Investment Firms (Special Supervision) Act (2014:968).

An undertaking according to the first paragraph shall also apply the regulations on the basis of the undertaking's consolidated situation according to Article 18 of Regulation (EU) No 575/2013 of the European Parliament and of the Council on prudential requirements for credit institutions and amending Regulation (EU) No 648/2012.

If the undertaking is controlled by a parent financial holding company or a parent mixed financial holding company, the undertaking shall apply the regulations on the basis of the consolidated situation of the financial holding company or the mixed financial holding company.

These regulations shall enter into force on 07 July 2021.

ERIK THEDÉEN

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