

Finansinspektionen's Regulatory Code

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FFFS 2016:9

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General guidelines amending Finansinspektionen's general guidelines (FFFS 2005:1) regarding governance and control of financial undertakings;

decided on 5 February 2016.

Finansinspektionen decides with regard to Finansinspektionen's general guidelines (FFFS 2005:1) regarding governance and control of financial undertakings that Chapter 1, section 2 shall have the following wording.

Chapter 1

Section 2¹ These general guidelines apply to

- exchanges,
- clearing organisations,
- legal persons with authorisation in accordance with the Insurance Mediation Act (2005:405),
- Svenska skeppshypotekskassan, and
- mutual benefit societies.

If appropriate the general guidelines should also be applied to groups and financial conglomerates.

However, this does not apply to central counterparties in accordance with Regulation (EU) No 648/2012 of the European Parliament and of the Council on OTC derivatives, central counterparties and trade repositories.

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1. These general guidelines shall enter into force on 1 March 2016.
 2. However, older general guidelines apply to central securities depositories which during a transitional period apply Act (1998:1479) with regard to central securities depositories and financial instrument accounts in its wording prior to 1 March 2016.

ERIK THEDÉEN

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¹ The modification entails the removal of central securities depositories from the list.