Finansinspektionen's Regulatory Code



Publisher: Finansinspektionen, Sweden, www.fi.se ISSN 1102-7460

This translation is furnished solely for information purposes. Only the printed version of the regulation in Swedish applies for the application of the law.

General guidelines amending Finansinspektionen's general guidelines (FFFS 2005:1) regarding governance and control of financial undertakings;

FFFS 2018:12

Published 2 July 2018

decided on 25 June 2018.

Finansinspektionen decides that Chapter 1, section 2 of Finansinspektionen's general guidelines (FFFS 2005:1) regarding governance and control of financial undertakings shall have the following wording.

Chapter 1

Section 2¹ These general guidelines apply to

- exchanges,
- clearing organisations,
- Svenska skeppshypotekskassan, and
- mutual benefit societies.

If appropriate, the general guidelines should also be applied to groups and financial conglomerates.

However, this does not apply to central counterparties in accordance with Regulation (EU) No 648/2012 of the European Parliament and of the Council on OTC derivatives, central counterparties and trade repositories.

These general guidelines shall enter into force on 1 October 2018.

ERIK THEDÉEN

Roger Jacobsson

¹ The amendment entails that legal persons with authorisation in accordance with the Insurance Mediation Act (2005:405) have been removed from the list.