Finansinspektionen's Regulatory Code



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This translation is furnished solely for information purposes. Only the printed version of the regulation in Swedish applies for the application of the law.

Regulations amending Finansinspektionen's regulations (FFFS 2007:17) governing operations on trading venues;

FFFS 2024:19

Published on 16 December 2024

decided on 10 December 2024.

Finansinspektionen prescribes pursuant to section 2 of the Supplemental Provisions for the EU Regulation on European Green Bonds Ordinance (2007:1086) relating to Finansinspektionen's regulations (FFFS 2007:17) regarding operations on trading venues

in part that Chapter 1, sections 1 and 2 shall have the following wording, in part that the heading preceding Chapter 11a shall be "Prospectuses and other documents pursuant to Regulation (EU) 2017/1129 of the European Parliament and of the Council and documents pursuant to Regulation (EU) 2023/2631 of the European Parliament and of the Council", and

in part that a new section, Chapter 11a, section 12, and a new heading immediately preceding Chapter 11a, section 12 shall be inserted with the following wording.

Chapter 1

Section 1 These regulations apply to

- exchanges,
- securities institutions,
- companies whose transferable securities are admitted to trading on a regulated market or trading facility and to issuers of such transferable securities,
- parties preparing prospectuses or other documents in accordance with Regulation (EU) 2017/1129 of the European Parliament and of the Council of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC, and
- parties preparing factsheets, allocation reports and impact reports pursuant to Regulation (EU) 2023/2631 of the European Parliament and of the Council of 22 November 2023 on European Green Bonds and optional disclosures for bonds marketed as environmentally sustainable and for sustainability-linked bonds.

Section 2 These regulations contain provisions regarding

- application for authorisation (Chapter 1a),
- ownership and management assessment (Chapter 2),
- notification of certain acquisitions (Chapter 2a),
- registration (Chapter 3),
- disciplinary board (Chapter 4),
- market surveillance at a stock exchange (Chapter 5),
- market surveillance at a securities institution (Chapter 6),

- information requirement for transferable securities issuers (Chapter 10),
- issuers without a registered office in the EEA (Chapter 11),
- prospectuses and other documents pursuant to Regulation (EU) 2017/1129 of the European Parliament and of the Council and documents pursuant to Regulation (EU) 2023/2631 of the European Parliament and of the Council (Chapter 11a),
- filing and disclosure of information related to shareholdings (Chapter 12),
- acquisition and disposal of own shares by limited liability companies (Chapter 13), and
- that the Swedish Securities Council decides in certain issues about public takeovers on the stock market and publishes certain such decisions (Chapter 14).

Chapter 11a

Language in factsheets, allocation reports and impact reports

Section 12 Swedish is an accepted language in factsheets, allocation reports and impact reports pursuant to Article 15(2) of Regulation (EU) No 2023/2631 of the European Parliament and of the Council.

These regulations shall enter into force on 21 December 2024.

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