



GUIDE

Submission of major shareholding notifications

FINANSINSPEKTIONEN

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Major shareholding notification

These instructions describe how a notifier submits an online major shareholding notification.

The notifier registers and logs in via the Reporting Portal <https://www.fi.se/en/applying-and-reporting/reporting2/>. The websites also have instructions for how to log in and delegate authorisations <https://www.fi.se/en/e-services-and-forms/register-an-account/>.


More information about major shareholding notification can be found here <https://www.fi.se/en/markets/reporting/changes-in-shareholdings/>

Log in

Go to www.fi.se, click on *Applying and reporting*,

Svenska [Subscribe](#) [Our registers](#) [Applying and reporting](#)

and *Reporting to FI*.



Reporting to FI

Instructions and links to FI's
systems for reporting

Register a user account (<https://www.fi.se/en/applying-and-reporting/reporting2/register-an-account2/>) and log in to the Reporting Portal using one of the log-in methods. Then, continue to Major Shareholding Notification.

Submit a major shareholding notification

TYPE OF NOTIFICATION

Here, choose the type of notification: for a new notification, select **Notification of acquisition or disposal of financial instruments**. Then click **Next**.

[Search](#) | [Report](#) | [Major shareholding notifications](#) | [Log out](#) | [Help](#) | [Svenska](#)

[PRINT](#)

Logged In

Step 1 – Choose type of notification

Select task

Notification of acquisition or disposal of financial instruments
 Notification of end or start of a Market maker assignment
 Show earlier major shareholding notifications

NEXT

SPECIFY THE HOLDER

Here, provide the details for the holder. If it is a company, fill in the company ID number, company name and company address. If it is a natural person, fill in the name, personal ID number and address. Then click *Save* and *Next*.

[Search](#) | [Report](#) | [Major shareholding notifications](#) | [Log out](#) | [Help](#) | [Svenska](#)

[PRINT](#)

Logged In

Step 2 of 8 – Enter holders

Information on holder

Foreign holder

Company

National identification number

Registration number

First name

Last name

Company name

Street address

P.O. box

Postal code

City

Country

SAVE CANCEL

Chosen holder

National identification number/registration number First name Last name/company name Street address P.O. box

PREVIOUS NEXT

CHOOSE THE ISSUER

Search for and select the issuer, then click *Next*.

Search | Report | Major shareholding notifications | Log out | Help | Svenska

PRINT

Logged In

Step 3 of 8 – Select issuer

<u>Company name</u>	<u>Company reg. number</u>
A3 Allmänna IT - och Telekomaktiebolaget (publ)	
AAK AB (publ)	
AB Sagax	556520
AB Sveriges Säkerställda Obligationer (publ)	
AB Traction	
ABB Ltd	20060

[⇒](#) [⇒](#)

SEARCH SEARCH CANCEL

Chosen issuer

None

PREVIOUS NEXT

INFORMATION ABOUT THE MAJOR SHAREHOLDING NOTIFICATION

In this view, specify the type of instrument, for example a share (ISIN is optional) and the nature of the change.

NOTE! Information listed under the headings “Shares” and “Voting rights” is specific to the holding of shares and certificates. In other words, this information does not apply to any holdings in other categories of instruments (the definitions of the categories are found at the bottom of the page; see the next picture).

Then specify the date of the change and the threshold that was reached or passed (upward or downward).

Continue to next page.

Step 4 of 8 – Notification of acquisition or disposal of shares

Enter data for major shareholding notification

Issuer

Instrument

Type of financial instrument

ISIN

Major shareholding notification cause

Nature of the change

Shares

Number of shares before the transaction

Number of shares after the transaction

Percentage of shares after the transaction

Voting rights

Number before

Number directly held after

Number indirectly held after

Percentage directly held after

Percentage indirectly held after

Date

Threshold date 

Threshold(s) that are reached/crossed

Percentage voting rights

Percentage total number of shares

This table shows the resulting distribution of the holdings in the issuer. The first line shows the percentage of the total outstanding voting rights, per category. The second line shows the total number of shares (or underlying shares), per category. The holding of shares and certificates does not need to be filled in manually here since this information is generated from the information specified in the previous picture.

In this specific example, the notifier sold shares and thus fell below the threshold of 5% of voting rights and the number of shares through a change in the aggregate holding in the three instrument categories (→ 4.9%). Note that the threshold does not necessarily need to have been reached or passed (upward or downward) via aggregation of the holdings in the various categories; it is also relevant to consider the holdings in the categories separately.

Other appropriate information stipulated by law, for example exercise period, duration, and maturity date for derivative instruments (for more guidelines see FFFS 2007:17, sections 10–11), is specified in the Comment field, along with any other comments you would like to include in the notification. Enter the contact details.¹

Then click *Next*.

Resulting distribution of total holdings

Percentage of voting rights:

Shares	Instruments - FITA Ch. 4, section 2, first paragraph, line 2 ²	Instruments - FITA Ch. 4, section 2, first paragraph, line 3 ³		Total voting rights (%)
		Physically settled	Cash settled	
25	2	0,2	0,2	31

Number of shares or underlying shares:

Shares	Instruments - FITA Ch. 4, section 2, first paragraph, line 2 ²	Instruments - FITA Ch. 4, section 2, first paragraph, line 3 ³		Total number of shares (through all instruments)
		Physically settled	Cash settled	
300,000	2400000	24000	24000	2,748,000

Notes (other relevant informations according to law)

Contact

First Name	<input type="text"/>	Last Name	<input type="text"/>
Phone	<input type="text"/>	Email	<input type="text"/>

¹ The legal basis for FI to compile and publish these so-called major shareholding notification announcements is that this document is necessary for FI to carry out a task in the public interest (Article 6(1)(e) of the General Data Protection Regulation). Chapter 4 of Act (1991:980) sets forth that major shareholders are obligated under certain conditions to notify FI when buying or selling shares and that FI must disclose this information. Chapter 12, sections 10–11 of FFFS 2007:17 specifies the required content of the major shareholding notification. Read more about FI's processing of personal data here <https://www.fi.se/sv/om-fi/dataskydd-och-behandling-av-personuppgifter-pa-fi/>

VOTING BY PROXY

This section is only required if you are voting by proxy. If you are, click *Add owner* and enter the information.

Click *Next* to continue.

Step 5 of 8 – When voting by proxy, the former voting rights holders are

First Name Last/Company Name	Is Company	Voting rights	End Date
No data			
ADD HOLDER			

[PREVIOUS](#) [NEXT](#)

CONTROLLING CHAIN OF VOTING RIGHTS

This step is required only if there is an indirect holding with a chain of controlling firms through which the voting rights are held. If there is such an indirect holding through subsidiaries, click *Add company* and then *Edit*. Specify the number of voting rights, % of voting rights and the name of the company or companies if there are several subsidiaries with holdings. To save the addition, it is necessary to click *Update*. Then specify the group's total holdings after the transaction/event, i.e., the aggregate holding for the parent company and the subsidiary/firms. Then click *Next*.

If there is no controlling chain of voting rights, skip this step by clicking *Next* without filling in any information.

Step 6 of 8 – Chain of controlling companies

Holder Börsbolaget AB who holds

	Voting rights	% Voting rights	Company	
Update Cancel	<input type="text" value="3000000"/>	<input type="text" value="2,5"/>	in <input type="text" value="Testing Company"/>	that owns
	<input type="text" value="0"/>	<input type="text" value="0"/>	in .. .	

[ADD COMPANY](#)

Total group holding after the transaction/event

Voting rights

% Voting rights

[PREVIOUS](#) [NEXT](#)

Note that the zeroes in the pictures, under “Number of voting rights” and “% voting rights” will not be updated.

CONFIRM THE INFORMATION

In this step, verify and confirm that the information is correct and that you take responsibility for the holding. Then continue by clicking *Register major shareholder notification*.

Step 7 of 8 – Confirm the information in the notification

Issuer

Holder

555555-5555 Börsbolaget AB Test 123 45 Test Sweden

Instrument

Type of financial instrument Share
ISIN

Shares

Number of shares before the transaction
Number of shares after the transaction 300,000
Percentage of shares after the transaction 25

Voting rights

Number before
Number directly held after 0
Number indirectly held after 300,000
Percentage directly held after 0
Percentage indirectly held after 25

Date

Threshold date 28/01/2016

Resulting distribution of total holdings

Percentage of voting rights:

Shares ¹	Instruments - FITA Ch. 4, section 2, first paragraph, line 2 ²	Instruments - FITA Ch. 4, section 2, first paragraph, line 3 ³		Total voting rights (%)
		Physically settled	Cash settled	
25	2	2	2	31

Number of shares or underlying shares:

Shares ¹	Instruments - FITA Ch. 4, section 2, first paragraph, line 2 ²	Instruments - FITA Ch. 4, section 2, first paragraph, line 3 ³		Total number of shares (through all instruments)
		Physically settled	Cash settled	
300,000	2,400,000	24,000	24,000	2,748,000

Threshold(s) that are reached/crossed

Percentage voting rights 5 %

Percentage total number of shares 5 %

Chain of controlling companies

Voting rights % Voting rights Company
 3,000,000 25 Testing Company

Total group holding after the transaction/event

Voting rights 58,800,000
 % Voting rights 49

Confirmation of responsibility
 Yes, I understand that the notifier is responsible for the information entered

- Also applies to depositary receipts which grant the holder voting rights for the referenced shares.
- Instruments giving the holder the right to acquire already issued shares in the company (FITA = Financial Instruments Trading Act (1991:980)).
- Instruments not included in the previous category, but which are referenced to shares referred to in that category and with a similar economic effect to that of the instruments in that category, whether the instruments are settled physically or in cash.

By choosing not to fill in the "Number of shares before" and the "Number of voting rights before", there may be difficulties for the Market to interpret the flagging notification.

ReceiptEmail receipt to

[PREVIOUS](#) [REGISTER MAJOR SHAREHOLDING NOTIFICATION](#)

RECEIPT ACKNOWLEDGEMENT

You will receive confirmation that the major shareholding notification has been submitted to Finansinspektionen.

Step 8 of 8 – Receipt of submitted notification

Major shareholding notification for acquisition or disposal of shares finished

[NEW MAJOR SHAREHOLDING NOTIFICATION](#)

Edit and show reported major shareholding notifications

To edit a major shareholding notification, click *Show earlier major shareholding notifications*.

Step 1 – Choose type of notification

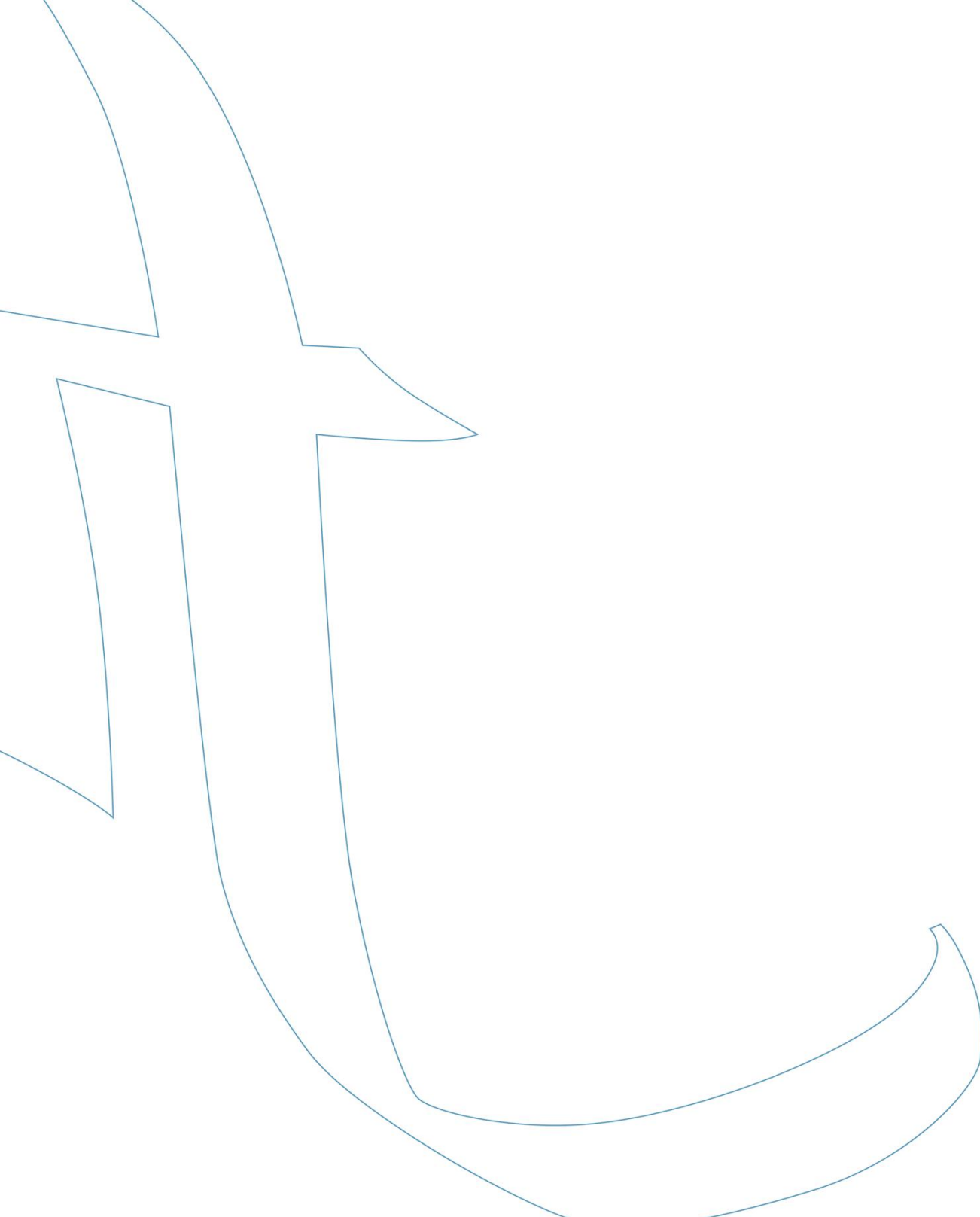
- Select task
- Notification of acquisition or disposal of financial instruments
 - Notification of end or start of a Market maker assignment
 - Show earlier major shareholding notifications
-

NEXT

All submitted notifications will be listed. Click *Show* to look at a report and *Edit* to change a notification.

Show history shows the changes that were made to a notification.

For questions related to this guide, please contact rapportering@fi.se



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