



USER GUIDE

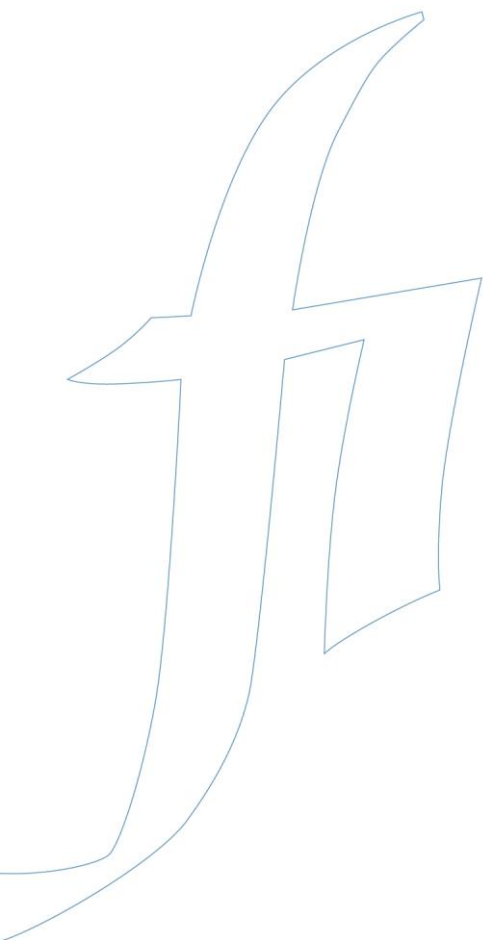
Short selling reporting tool - Rapporteur

FINANSINSPEKTIONEN

24 januari 2023

Version 1.1





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Short selling reporting tool

The Short selling reporting tool is an IT system for reporting short positions to the Swedish Financial Supervisory Authority. Before you can use the system, you must register and be authorized to report to the correct position holder.

Roles in Short selling reporting tool

Reporter	The person who reports a position to the Position Holder
Position holder	Holders of a short net position in shares, government securities and / or government credit swaps in an issuer
Issuer	Issuer of securities

Sign up for reporting

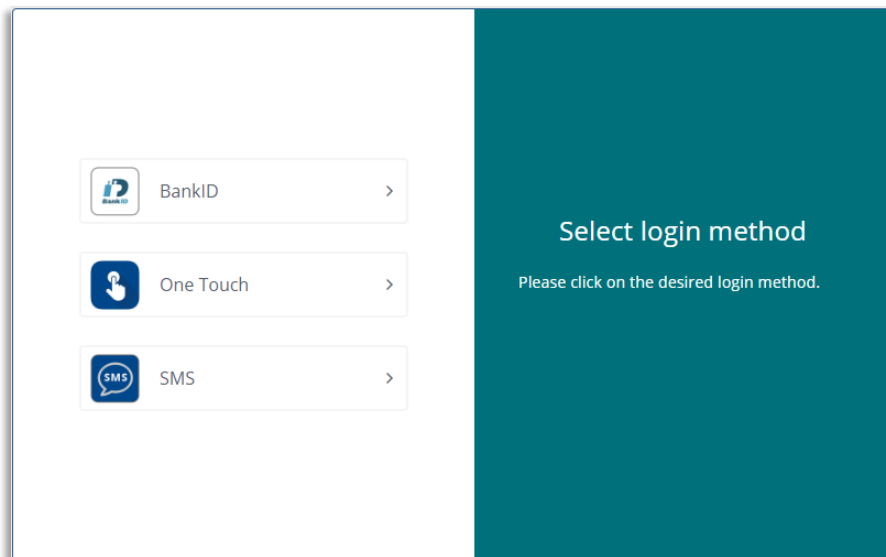
A person who wants to make a report of short selling tool must first register in Finansinspektionen's authorization system (Reporting Portal) in order to become a Reporter. The Position Holder must also be registered as a "company" in the Reporting Portal. It is important to enter the LEI code for the Position Holder when registering.

Finally, the authority to report shortings for a Position Holder must be assigned to the respective Rapporteur. See steps below:

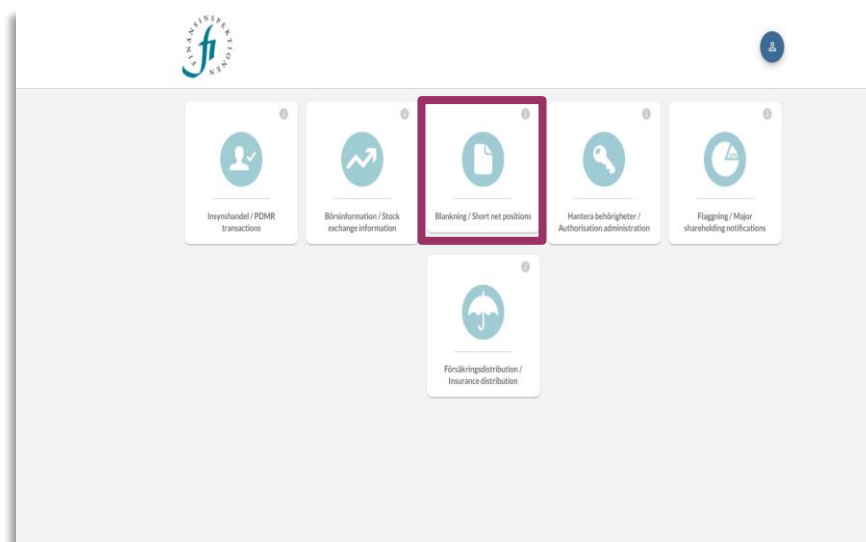
1. Register as an account / Reporter in the authorization system.
<https://www.fi.se/en/e-services-and-forms/register-an-account/>
2. Register Position Holders in the authorization system.
<https://www.fi.se/contentassets/a7a15c44379e46dfa6a763dfb5e19a28/instructions-shortselling-reportingportal.pdf>
3. Delegate reporting authority for the Position Holder to the Rapporteur:
<https://www.fi.se/authorisation>

Log in to Short selling reporting tool

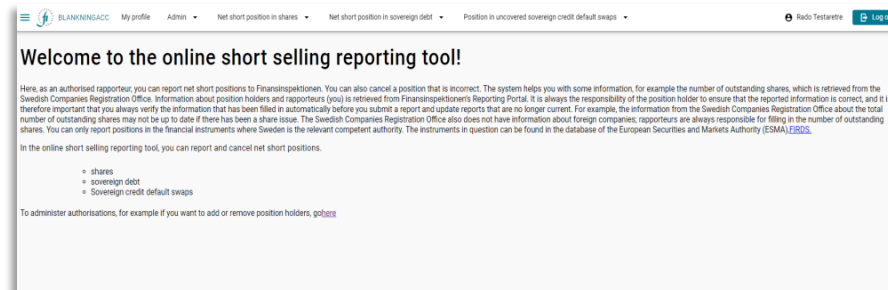
1. Go to the reporting portal on FI.SE or directly <https://portal.finansinspektionen.se/>
2. Select the login method.



3. Select "Blankning/Short net positions".



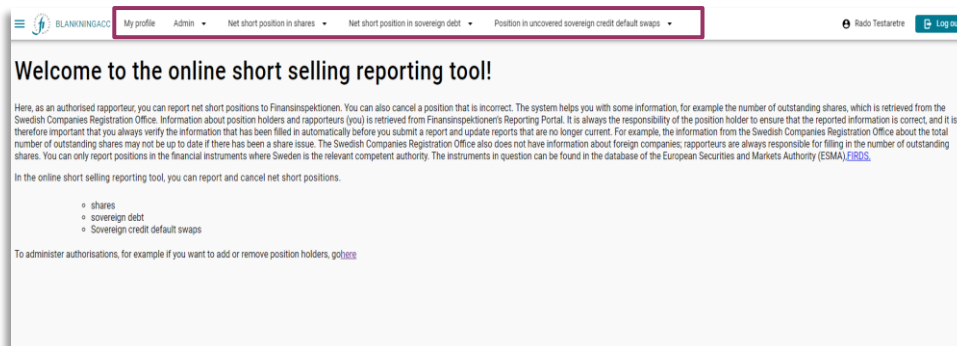
If the login is successful, the start page is displayed as below: If it does not appear, check that you are registered in the authorization system (see Registration for the short selling reporting tool)



Functions

At the top of the Blanking web are the following tabs:

- My profile
- Short selling in shares
- Short selling in government securities
- Short-term loans in government credit swaps without coverage



My profile

This shows information taken from FI's authorization system about the Rapporteur and the Position Holders for whom the Rapporteur can report. In My profile, you as a Reporter can activate the function to report short sales for yourself as a private person.

Move the button to activate or deactivate the function. When the function is activated, you as a private person will be able to choose yourself as a position holder when reporting short selling in the views Short selling in shares, Shorting in government securities and Shorting in government credit swaps without coverage.

Information retrieved from FI's Reporting Portal

Set information is retrieved from FI's Reporting Portal and updates must be made there

First name
Rado

Last name
Testarehe

E-mail address
rapporteur@fi.fi

Address
vsgn13

Phone number
0765078512

Country
AU

☐ Enable reporting of net short positions on my own behalf

Position holders you are authorized to report for

Is a position holder missing? Go to FI's Reporting Portal to register an authorisation to enable reporting on behalf of a new position holder

Position holder	Position holder's address	Position holder's country	Position holder's phone number	Position holder's e-mail address	Position holder's ID code	Position holder's SIC code
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Report Net short positions in share

1. Select Report under the tab "Short selling in shares". Here is a summary of current positions and closed positions.
2. Select the current position holder.
3. Click on "Report short selling in shares".

BLANKNINGACC My profile Admin Net short position in shares Net short position in sovereign debt Position in uncovered sovereign credit default swaps

Notify net short position in shares

Selected position holder
Testbanken

NOTIFY NET SHORT POSITION IN SHARES

4. Select the issuer by clicking on "SELECT ISSUER"

BLANKNINGACC My profile Admin Net short position in shares Net short position in sovereign debt Position in uncovered sovereign credit default swaps

Notify net short position in shares

Position holder
Lekia AB

SELECT ISSUER

ISIN code ☐ Set ISIN code manually

Position date

Position in number of shares Position in percent (%)

The issuer's total amount of issued shares (all share classes)

EDIT POSITION HOLDER

Comment (optional, will not be published on fi.se)

Reporting date
2020-09-21 14:30:40

Date of previous notification
N/A

REVIEW **CANCEL**

5. Find the right issuer.

Search for issuer (Name, LEI or ISIN) **SEARCH**

*Search for relevant issuer. You can only report positions in the financial instruments where Sweden/Finansinspektionen is the relevant competent authority. The search is therefore limited to the financial instruments classified as "RCA SE" in ESMA's FIRDS database. If you cannot find an issuer whose position must be reported to Finansinspektionen, please contact Finansinspektionen at rapportering@fi.se"

OK

6. If the ISIN code has not been retrieved, enter it manually (click in the checkbox).

7. Enter the number of shares in the position and also the share base in cases where it has not been retrieved automatically in the form below. Press REVIEW

BLANKNINGACC My profile Admin Net short position in shares Net short position in sovereign debt Position in uncovered sovereign credit default swaps

Notify net short position in shares

Position holder
Lekia AB

SELECT ISSUER

ISIN code
SE0002169292 ☐ Set ISIN code manually

Position date
09 / 21 / 2020

Position in number of shares
234234

Position in percent (%)
0.69

The issuer's total amount of issued shares (all share classes)
33750000 ☐ Set total amount of issued share capital manually

EDIT POSITION HOLDER

Comment (optional, will not be published on fi.se)

Reporting date
2020-09-21 14:45:42

Date of previous notification
N/A

REVIEW

8. If the text is correct after review, select "Submit notification", otherwise select "edit"

Position date
9/21/20

Position in number of shares
345345

Position in percent (%)
0.31

The issuer's total amount of issued shares (all share classes)
108550575

Comment (optional, will not be published on fi.se)

☐ Total amount of issued share capital (manually set)

Reporting date
9/21/20

Date of previous notification
N/A

The person subject to the reporting obligation is responsible to ensure that the information in the notification is correct

SUBMIT NOTIFICATION **EDIT**

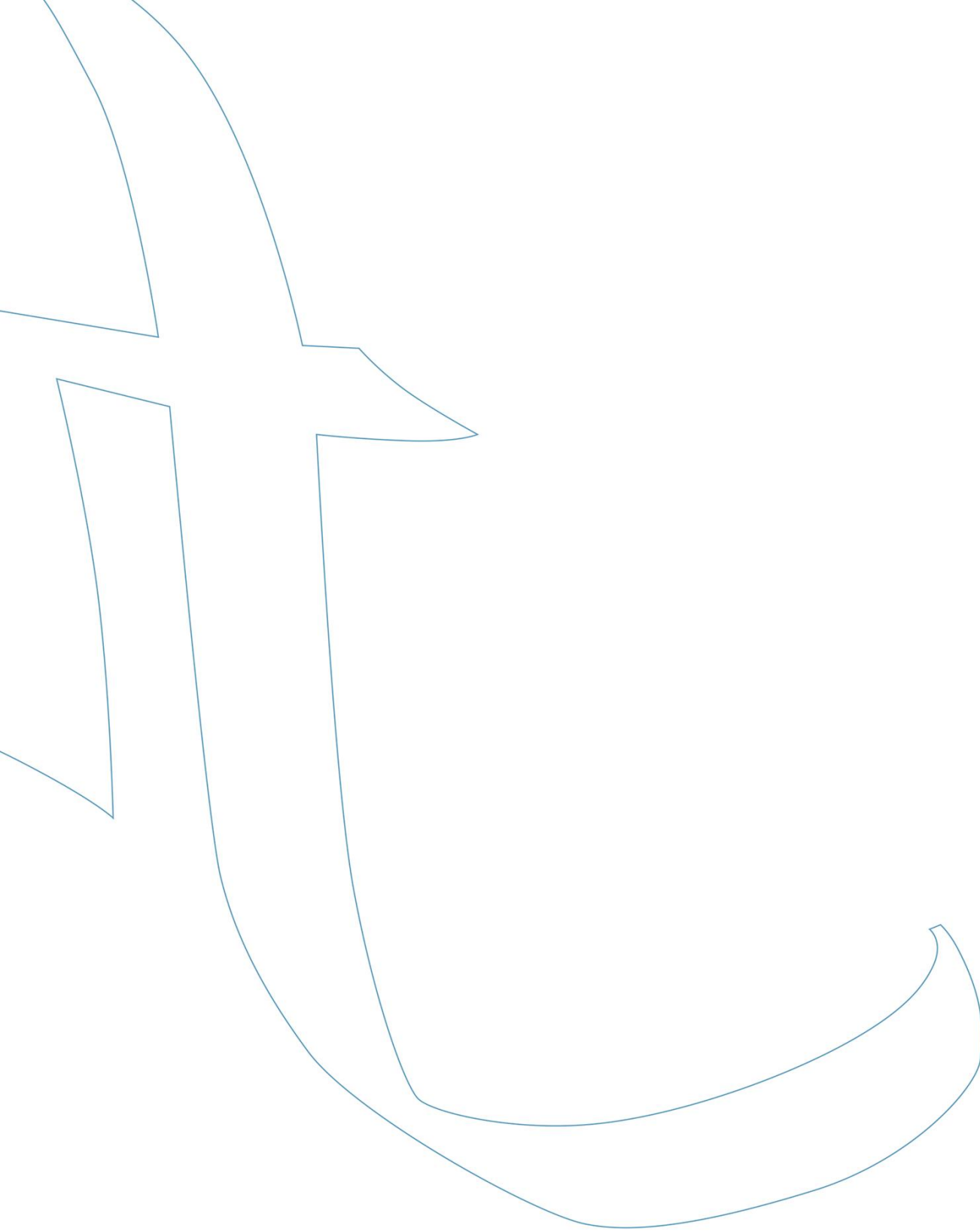
Thank you for your notification!

Kvitto.pdf

GO TO REPORT

9. DONE! If you want a receipt, click on "Kvitto.pdf".
"GO TO REPORT" returns to "Report page"

For questions about these instructions, please contact: rapportering@fi.se



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