

INSTRUCTIONS



FINANSINSPEKTIONEN

26 January 2023

Version 1.1

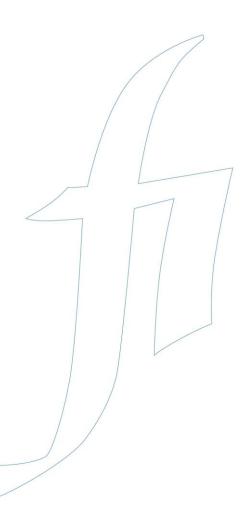


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Major shareholding notification

These instructions describe how a notifier submits an online major shareholding notification.

The notifier registers and logs in via the Reporting Portal https://www.fi.se/en/e-services-and-forms/reporting-to-fi/. The websites also have instructions for how to log in and delegate authorisations https://www.fi.se/en/e-services-and-forms/register-an-account/.

More information about major shareholding notification can be found here https://www.fi.se/en/markets/reporting/changes-in-shareholdings/

Log in

Go to www.fi.se, click on 'E-services and forms' and 'Reporting to FI'.



Register as a user and log in to the Reporting Portal using one of the log-in methods. Then, continue to Major Shareholding Notification.

Submit a major shareholding notification

TYPE OF NOTIFICATION

Here, chose the type of notification: for a <u>new</u> notification, select **Notification of acquisition or disposal of financial instruments**. Then click **Next**.

Search	Report Major shareholding notifications Log out Help Svenska
	PRINT
Logged In	
Step 1 — 0	hoose type of notification
	O Notification of acquisition or disposal of financial instruments
Select task	O Notification of end or start of a Market maker assignment
	O Show earlier major shareholding notifications
NEXT	

SPECIFY THE HOLDER

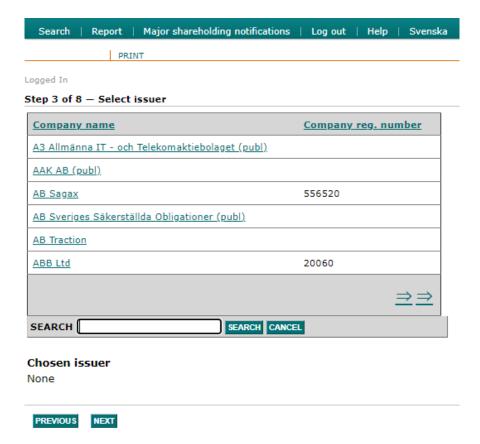
Here, provide the details for the holder. If it is a company, fill in the company ID number, company name and company address. If it is a natural person, fill in the name, personal ID number and address. Then click **Save** and **Next**.

PRINT	
ogged In	
Step 2 of 8 — Enter holders	
Information on holder	
Foreign holder	
Company	
National identification number	
Registration number	
First name	
Last name	
Company name	
Street address	
P.O. box	
Postal code	
City	
Country	- v
SAVE CANCEL	
Chosen holder	ber/registration number First name Last name/company name Street address P.O. b

5

CHOOSE THE ISSUER

Search for and select the issuer, then click Next.



INFORMATION ABOUT THE MAJOR SHAREHOLDING NOTIFICATION

In this view, specify the type of instrument, for example a share (ISIN is optional) and the nature of the change.

NOTE! Information listed under the headings "Shares" and "Voting rights" is specific to the holding of shares and certificates. In other words, this information does <u>not</u> apply to any holdings in other categories of instruments (the definitions of the categories are found at the bottom of the page; see the next picture).

Then specify the date of the change and the threshold that was reached or passed (upward or downward).

Continue to next page.

Step 4 of 8 — Notificatio	n of acquisition or disposal of shares
Enter data for major shareho	lding notification
Issuer	
Instrument	
Type of financial instrument	Share
ISIN	
Major shareholding notification cause	
Nature of the change	Buy
Shares Number of shares before the transaction	
Number of shares after the transaction	300000
Percentage of shares after the transaction	2,5
Voting rights	
Number before	
Number directly held after	0
Number indirectly held after	300000
Percentage directly held after	0
Percentage indirectly held after	
Date	_
Threshold date	2016-01-28
Threshold(s) that are re	eached/crossed
Percentage voting rights	5 %
Percentage total number of shares	5 % ✓

This table shows the resulting distribution of the holdings in the issuer. The first line shows the percentage of the total outstanding voting rights, per category. The second line shows the total number of shares (or underlying shares), per category. The holding of shares and certificates does not need to be filled in manually here since this information is generated from the information specified in the previous picture.

In this specific example, the notifier sold shares and thus fell below the threshold of 5% of voting rights and the number of shares through a change in the <u>aggregate</u> holding in the three instrument categories (→ 4.9%). Note that the threshold does not necessarily need to have been reached or passed (upward or downward) via aggregation of the holdings in the various categories; it is also relevant to consider the holdings in the categories separately.

Other appropriate information stipulated by law, for example exercise period, duration, and maturity date for derivative instruments (for more guidelines see FFFS 2007:17, sections 10–11), is specified in the Comment field, along with any other comments you would like to include in the notification. Enter the contact details.

Then click Next.

Resulting distribution of total holdings Percentage of voting rights:				
Shares	Instruments - FITA Ch. 4, section 2, first paragraph, line 2^2	Instruments - FITA Ch. 4, section 2, first paragraph, line 3 ³		
		Physically settled	Cash settled	Total voting rights (%)
25	2	0,2	0,2	31
Number of shares or underlying shares	nares: Instruments - FITA Ch. 4, section 2, first paragraph, line 2 ²		Ch. 4, section 2, first	
		Physically settled	Cash settled	Total number of shares (through all instruments)
300,000	2400000	24000	24000	2,748,000
Notes (other relevant informat	tions according to law)			
Contact				
First Name	Last Name			
Phone	Email			

VOTING BY PROXY

This section is only required if you are voting by proxy. If you are, click **Add owner** and enter the information.

Click Next to continue.

Step 5 of 8 - When voting by proxy, the former voting rights holders are



CONTROLLING CHAIN OF VOTING RIGHTS

This step is required only if there is an indirect holding with a chain of controlling firms through which the voting rights are held. If there is such an indirect holding through subsidiaries, click **Add company** ad then **Edit**. Specify the number of voting rights, % of voting rights and the name of the company or companies if there are several subsidiaries with holdings. To save the addition, it is necessary to click **Update**. Then specify the group's total holdings after the transaction/event, i.e., the aggregate holding for the parent company and the subsidiary/firms. Then click **Next**.

If there is no controlling chain of voting rights, skip this step by clicking **Next** without filling in any information.

Step 6 of 8 — Chain of controlling companies Holder Börsbolaget AB who holds % Voting rights Voting rights Company Update Cancel 3000000 in Testing Company 2,5 that own 0 Total group holding after the transaction/event 58800000 Voting rights 4,9 % Voting rights PREVIOUS NEXT

Note that the zeroes in the pictures, under "Number of voting rights" and "% voting rights" will not be updated.

CONFIRM THE INFORMATION

In this step, verify and confirm that the information is correct and that you take responsibility for the holding. Then continue by clicking **Register major shareholder notification.**

Step 7 of 8 - Confirm the information in the notification

Issuer

Holder

555555-5555 Börsbolaget AB Test 123 45 Test Sweden

Share

Instrument

Type of financial instrument

ISIN

Shares

Number of shares before the transaction Number of shares

after the 300,000

transaction

Percentage of shares after the 25 transaction

Voting rights

Number before Number directly held after

Number indirectly held after 300,000

Percentage directly held after 0 Percentage indirectly held 25

after

Date

Threshold date 28/01/2016

Resulting distribution of total holdings

Percentage of voting rights:

Instruments - FITA Ch. 4, section Instruments - FITA Ch. 4, section 2, 2, first paragraph, line $\mathbf{2}^2$ first paragraph, line $\mathbf{3}^3$

Physically settled Cash settled Total voting rights (%)

2 25

Number of shares or underlying shares:

Instruments - FITA Ch. 4, section Instruments - FITA Ch. 4, section 2,

2, first paragraph, line 2² first paragraph, line 3³

Physically settled Cash settled (through all instruments)

300,000 2,400,000 24,000 24,000 2,748,000

Threshold(s) that are reached/crossed

Percentage voting rights 5 % Percentage total number of shares 5 %

Chain of controlling companies

oting rights % Voting rights Company

Testing Company

Total group holding after the transaction/event

Voting rights 58,800,000

% Voting rights 49

Confirmation of responsibility

 \square Yes, I understand that the notifier is responsible for the information entered

- 1. Also applies to depositary receipts which grant the holder voting rights for the referenced shares.
- 2. Instruments giving the holder the right to acquire already issued shares in the company (FITA = Financial Instruments Trading Act (1991:980)).
- Instruments not included in the previous category, but which are referenced to shares referred to in that category and with a similar economic effect to that of the instruments in that category, whether the instruments are settled physically or in cash.

By choosing not to fill in the "Number of shares before" and the "Number of voting rights before" , there may be difficulties for the Market to interpret the flagging notification.

Receipt

Email receipt to

PREVIOUS REGISTER MAJOR SHAREHOLDING NOTIFICATION

RECEIPT ACKNOWLEDGEMENT

You will receive confirmation that the major shareholding notification has been submitted to Finansinspektionen.

Step 8 of 8 - Receipt of submitted notification

Major shareholding notification for acquisition or disposal of shares finished

NEW MAJOR SHAREHOLDING NOTIFICATION

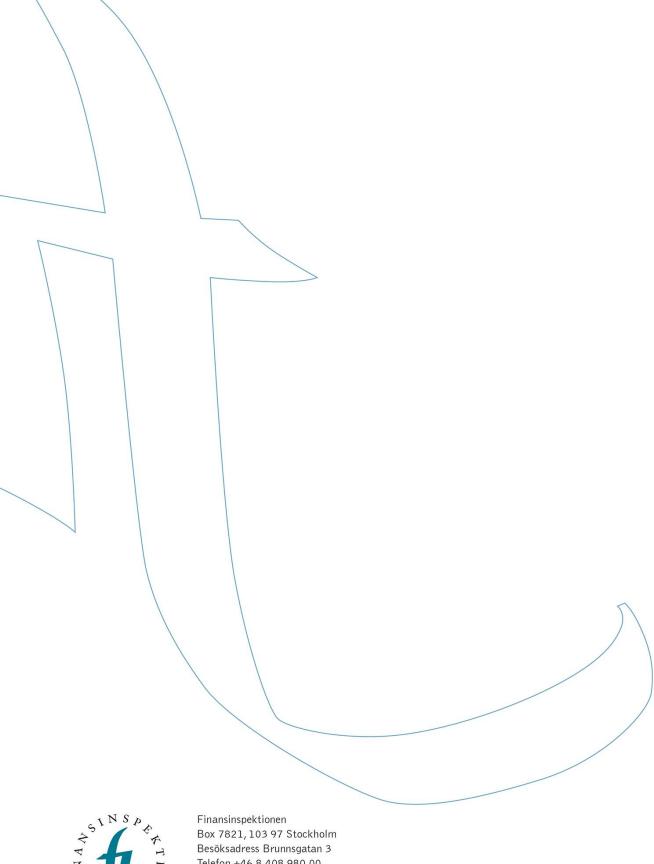
Edit and show reported major shareholding notifications

To edit a major shareholding notification, click "Show earlier major shareholding notifications".

	\bigcirc Notification of acquisition or disposal of financial instruments
Select task	O Notification of end or start of a Market maker assignment
	Show earlier major shareholding notifications

All submitted notifications will be listed. Click "Show" to look at a report and "Edit" to change a notification.

"Show history" shows the changes that were made to a notification.





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